

01/22/02

JC973 U.S. PTO

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Approved for use through 10/31/2002. OMB 0651-0032  
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PTO/SB/05 (03-01)

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

Attorney Docket No.

First Inventor

Title

Express Mail Label No.

Joseph Raben  
A Computer-Based Acoustic

(Only for new nonprovisional applications under 37 CFR 1.53(b))

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification (Total Pages **27**)  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **24**]
5. Oath or Declaration [Total Pages **2**]
- a. ☒ Newly executed (original or copy)  
Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
- b. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copy.

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement of Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: \_\_\_\_\_

Prior application information:

Examiner \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

Name	Joseph Raben				
Address	505 79 Street				
	Apt 4G				
City	New York	State	NY	Zip Code	10021
Country	USA	Telephone	212.628.7846	Fax	

Name (Print/Type)	Joseph Raben	Registration No. (Attorney/Agent)	
Signature		Date	12/3/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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10/051655

01/22/02

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 355.00

## Complete if Known

Application Number

Filing Date

First Named Inventor

Joseph Raben

Examiner Name

Group Art Unit

Attorney Docket No.

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

Deposit  
Account  
Name

☐ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money  
Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee Fee Fee  
Code (\$) Code (\$) Fee Description

Fee Code	Large Entity (\$)	Small Entity (\$)	Fee Description
101	710	201 355	Utility filing fee
106	320	206 160	Design filing fee
107	490	207 245	Plant filing fee
108	710	208 355	Reissue filing fee
114	150	214 75	Provisional filing fee

Fee Paid

355

SUBTOTAL (1) (\$) 355

### 2. EXTRA CLAIM FEES

Total Claims 15  
Independent Claims 2  
Multiple Dependent 0

Extra Claims  
-20\*\* = 0  
-3\*\* = 0

Fee from below  
0  
0  
0

Fee Paid  
0  
0  
0

Large Entity Small Entity

Fee Fee Fee Fee  
Code (\$) Code (\$) Fee Description

Fee Code	Large Entity (\$)	Small Entity (\$)	Fee Description
103	18	203 9	Claims in excess of 20
102	80	202 40	Independent claims in excess of 3
104	270	204 135	Multiple dependent claim, if not paid
109	80	209 40	** Reissue independent claims over original patent
110	18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$) 0

\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205 65	65	Surcharge - late filing fee or oath	
127	50	227 25	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139 130	130	Non-English specification	
147	2,520	147 2,520	2,520	For filing a request for ex parte reexamination	
112	920*	112 920*	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840*	1,840*	Requesting publication of SIR after Examiner action	
115	110	215 55	55	Extension for reply within first month	
116	390	216 195	195	Extension for reply within second month	
117	890	217 445	445	Extension for reply within third month	
118	1,390	218 695	695	Extension for reply within fourth month	
128	1,890	228 945	945	Extension for reply within fifth month	
119	310	219 155	155	Notice of Appeal	
120	310	220 155	155	Filing a brief in support of an appeal	
121	270	221 135	135	Request for oral hearing	
138	1,510	138 1,510	1,510	Petition to institute a public use proceeding	
140	110	240 55	55	Petition to revive - unavoidable	
141	1,240	241 620	620	Petition to revive - unintentional	
142	1,240	242 620	620	Utility issue fee (or reissue)	
143	440	243 220	220	Design issue fee	
144	600	244 300	300	Plant issue fee	
122	130	122 130	130	Petitions to the Commissioner	
123	50	123 50	50	Processing fee under 37 CFR 1.17(q)	
126	180	126 180	180	Submission of Information Disclosure Stmt	
581	40	581 40	40	Recording each patent assignment per property (times number of properties)	
146	710	246 355	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249 355	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279 355	355	Request for Continued Examination (RCE)	
169	900	169 900	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

## SUBMITTED BY

Name (Print/Type)

Joseph Raben

Registration No.  
(Attorney/Agent)

Signature

Joseph Raben

## Complete (if applicable)

Telephone

212.628.7846

Date

12/3/01

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